



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/737,347	12/16/2003	Henry C. Yuen	51689/JEC/G207

CONFIRMATION NO. 5993

## FORMALITIES LETTER



\*OC000000012207742\*

23363

CHRISTIE, PARKER & HALE, LLP  
350 WEST COLORADO BOULEVARD  
SUITE 500  
PASADENA, CA 91105

Date Mailed: 03/29/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/30/2004 YPOLITE1 00000032 10737347

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 770.00 OP  
02 FC:1051 130.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.

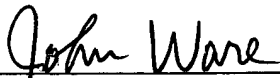
EXPRESS MAILING LABEL  
NO. EV133111846US

- does not identify the complete mailing or post office address of each inventor.
- does not identify the citizenship of each inventor.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

---

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENTS  
GS-2 CONT 2 DIV CONT 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Henry C. Yuen et al.  
Application No. : 10/737,347  
Confirmation No. : 5993  
Filed : December 16, 2003  
For : APPARATUS AND METHOD USING  
COMPRESSED CODES FOR SCHEDULING  
BROADCAST INFORMATION RECORDING  
Group Art Unit : 2615

EXPRESS MAIL CERTIFICATION

EXPRESS MAIL LABEL NO. EV133111846US

Date of Deposit: August 27, 2004.

I hereby certify that this paper/fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Mail Stop MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

  
Lillian Garcia

Enclosures:

1. Reply To Notice To File Missing Parts of Nonprovisional Application (in duplicate);

2. Copy of the March 29, 2004 Notice To File Missing Parts;
3. Copy of an executed Declaration And Power of Attorney For Patent Applications;
4. An executed Revocation Of Power Of Attorney, New Power Of Attorney By Assignee, Change Of Correspondence Address, And Change Of Attorney Docket Number;
5. Petition For Extension Of Time Pursuant To 37 C.F.R. § 1.136(a) (in duplicate);
6. Check in the amount of \$770.00 (filing fee);
7. Check in the amount of \$130.00 (surcharge);
8. Check in the amount of \$950.00 (3-month extension fee); and
9. Return postcard.



EXPRESS MAIL LABEL  
NO. EV133111846US

PATENTS  
GS-2 CONT 2 DIV CONT 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Henry C. Yuen et al.  
Application No. : 10/737,347  
Confirmation No. : 5993  
Filed : December 16, 2003  
For : APPARATUS AND METHOD USING  
COMPRESSED CODES FOR SCHEDULING  
BROADCAST INFORMATION RECORDING  
Group Art Unit : 2615

New York, New York 10020  
August 27, 2004

Mail Stop MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

REPLY TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION

Sir:

In reply to the Notice To File Missing Parts of  
Nonprovisional Application mailed March 29, 2004, applicants  
hereby submit the following:

1. A copy of the March 29, 2004 Notice To File  
Missing Parts Of Nonprovisional Application;

2. A copy of an executed Declaration and Power of Attorney for Patent Application from a prior application (37 C.F.R. §1.63(d));
3. An executed Revocation Of Power Of Attorney, New Power Of Attorney By Assignee, Change Of Correspondence Address, And Change Of Attorney Docket Number;
4. Petition For Extension Of Time Pursuant To 37 C.F.R. § 1.136(a) (in duplicate);
5. Check in the amount of \$770.00 (filing fee);
6. Check in the amount of \$130.00 (surcharge); and
7. Check in the amount of \$950.00 (3-month extension fee).

The Director is hereby authorized to charge any underpayment, or credit any overpayment, in connection with this Reply to Deposit Account No. 06-1075. A duplicate copy of this Reply is enclosed.

Respectfully submitted,

Evelyn C. Mak

Evelyn C. Mak  
Registration No. 50,492  
Attorney for Applicants

FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
(212) 596-9000